



Annexure – II

Ordinance VI: Minimum Standards and Procedure for Award of Ph. D Degrees Regulations, 2016

This Annexure shares information related to admissions to PhD Program per Ordinance VI Minimum Standards and Procedure for Award of Ph.D Degrees Regulations, 2016. For more details, candidates must refer to the Ordinance and its amendments available at www.du.ac.in

1. The Ordinance conforms with University Grants Commission Gazette (Minimum Standards and Procedure for Award of Ph.D. Degrees) Regulations, 2016.
2. Award of degrees to candidates registered for the Ph.D. Program on or after July 11, 2009 till the date of Notification of these Regulations by UGC shall be governed by the provisions of the UGC (Minimum Standards and procedure for Awards of Ph.D. Degree) Regulation, 2009
3. If the Ph.D. Degree is awarded by a Foreign University, the University considering such a degree shall refer the issue to a Standing Committee constituted for the purpose of determining the equivalence of the degree awarded by the foreign University.
4. The University of Delhi shall not conduct the Ph.D. Programs through distance education mode.
5. Part-time Ph.D. will be allowed provided all the conditions mentioned in the extant Ph.D. Regulations are met.

General Guidelines

- a. A Department of a Faculty or a Centre of the University can conduct research Programs for the award of Ph.D. Degree.
- b. The Board of Research Studies (BRS) of the respective Faculty/Centre shall organize the research studies leading to the degree of Doctor of Philosophy under the general guidance of the Academic Council.
- c. The Research Council will advise the Board of Research Studies.
- d. The Departmental Research Committee (DRC) shall supervise all academic matters related to the Ph.D. Degree.

A. Composition and Functions of the Research Council, Board of Research Studies and Departmental Research Committee

1. **Research Council**-The Research Council shall ensure uniform implementation of the Ordinance and provide advice on procedural and related matters. The composition of the Research Council shall include a Chairperson and Deans of Research from Life Sciences, Physical and Mathematical Sciences and Humanities and Social Sciences.
2. **Board of Research Studies** - Each Faculty that offers Ph.D. Program shall have a Board of Research Studies. The registration of students by each Department of the Faculty shall require ratification by the respective BRS. The records of registration and the progress of research work done by the Ph.D. students shall be maintained by the BRS.

All members of the BRS must be qualified to be Supervisors.

The composition of BRS in a Faculty having more than one Department shall be as follows:

- a) Dean of the Faculty-Chairperson



- b) One Professor (a nominee of the Vice Chancellor)
- c) Heads of all Departments under the Faculty
- d) One Professor from each Department
- e) One Associate Professor from each Department
- f) One Assistant Professor from each Department
- g) One Associate Professor from each subject amongst teachers from colleges, if applicable.

The selection under category(c),(d), (e), (f) and (g) above shall be by rotation as per seniority.

The composition of the **BRS for a single Department** based faculty shall be as follows:

- a) Dean of the Faculty /Chairperson
- b) One Professor (nominated by the Vice-Chancellor from an allied Department)
- c) Three Professors
- d) Two Associate Professors
- e) Two Assistant Professors
- f) One Associate Professor amongst teachers from colleges, if applicable

The selection under category (c), (d), (e) and (f) above shall be by rotation as per seniority.

The composition of **BRS for the Faculty of Medical Sciences** shall be as follows:

- a) Dean of the Faculty-Chairperson
- b) One Professor (nominee of the Vice-Chancellor)
- c) All University appointed Heads of the Department under Faculty of Medical Sciences.
- d) All Heads of the Institutions/Colleges affiliated to the University for Ph.D. Program.
- e) One senior-most Associate Professor nominated from each of the teaching Institutions (LHMC, MAMC, UCMS, VPCI & IHBAS).
- f) One Assistant Professor by rotation as per seniority.

The composition of **BRS for the Faculty of Technology** shall be as follows:

- a) Dean of the Faculty-Chairperson
- b) One Professor (nominee of the Vice Chancellor)
- c) All Heads of the Department
- d) One Professor from each Department
- e) One Associate Professor from each Department
- f) One Assistant Professor from each Department
- g) Dean, Post Graduate Studies

3. **Departmental Research Committee**- Each Department and Centre offering Ph.D. Program shall have a Departmental Research Committee (DRC). All members of the DRC must be qualified to be Supervisors. The Dean of the respective faculty will approve the Committee.

The DRC of Department/Centre shall comprise the following:

- a) Head of the Department/Director-Chairperson
- b) One Professor (nominee of the Vice-Chancellor)



- c) Four Professors in the Department
- d) Two Associate Professors
- e) Two Assistant Professors
- f) One Associate Professor and One Assistant Professor from the colleges, except for those Departments that do not have an undergraduate Program in the respective discipline.
- g) Immediate past Head of the Department/Director, if not superannuated.

The members in category (c), (d), (e) and (f) above shall be chosen by rotation as per seniority.

The composition of **DRC for the Faculty of Medical Sciences** shall be as follows:

- a) Head of the Department-Chairperson
- b) One Professor (a nominee of the Vice-Chancellor)
- c) Four Professors from the Department
- d) Two Associate Professors
- e) Two Assistant Professors

The selection under category c), d) and e) shall be by rotation as per seniority.

The composition of **DRC for the Faculty of Technology** shall be as follows:

- a) Head of the Department-Chairperson
- b) One Professor (a nominee of the Vice-Chancellor)
- c) Four Professors
- d) Two Associate Professors
- e) Two Assistant Professors

The selection under category c) to e) shall be by rotation as per seniority.

Where Departments have strength of less than ten teachers, all members, who are eligible to be Supervisors, will be members of the Departmental Research Committee.

The term of membership of all members of BRS and DRC, other than ex-officio members will be for a period of two years. Unless otherwise provided, one-third of the members of the BRS and DRC, respectively shall form the quorum.

The meetings of the DRC and the BRS may be held at least once every three months. It may be held earlier, depending on the urgency of individual cases.

B. Procedure for Admission

1. As per UGC Regulations 2016, the University shall admit Ph.D. students through an Entrance Test conducted at the level of the University. The University may decide separate terms and conditions for those students who qualify UGC-NET (including JRF)/UGC-CSIR NET (including JRF)/GATE/teacher fellowship holder or have passed M. Phil. Program.
2. Candidates will be admitted in a two-stage process through (i) an Entrance Test (to be held once a year, a common entrance test for Ph.D. Program) and (ii) an interview that may be held twice in a year and more number of times, if required.
3. As per UGC Regulations 2016, the University will decide on an annual basis through the academic bodies, a predetermined and manageable number of Ph.D. scholars to be admitted depending on the number of available Research Supervisors and other academic and physical facilities available,



keeping in mind the norms regarding the scholar- teacher ratio, laboratory, library and such other facilities.

4. As per UGC Regulations 2016, the University shall notify well in advance on its website and through advertisement in at least two (2) national newspapers, of which at least one (1) shall be in the regional language, the number of seats for admission, subject/discipline-wise distribution of available seats, criteria for admission, procedure for admission, examination centre (s) where entrance test(s) shall be conducted and all other relevant information for the benefit of the candidates.
5. The Departments will accordingly decide on an annual basis through DRC/BRS, a predetermined and manageable number of Ph.D. scholars to be admitted, and will notify well in advance on its Departmental website and through advertisement, the number of seats for admission, subject/discipline-wise distribution of available seats, criteria for admission, procedure for admission, syllabus for written test, Examination Centre(s) where entrance test(s) shall be conducted, selection criteria during interview and all other relevant information for the benefit of the candidates.
6. The Head of the Department shall consult the faculty members and college teachers, who are recognized to be Supervisor as per UGC Regulation 2016, regarding the number of vacant seats available with each member in that academic year. Each prospective Supervisor may decide on the number of seats that he/she may like to fill in a particular year, within his/her maximum permissible strength and inform the Head of Department accordingly.
7. Eligible College teachers will send their willingness to supervise Ph.D. students along with Curriculum Vitae and list of publications to the Head. The Head will ensure that information from the Department faculty and college teachers in this regard is available before the announcement of vacant seats.
8. In case of Departments that want to hold the interviews twice or more number of times in a year, they can notify their vacant seats at least 15 days before the schedule of interviews.
9. No research scholar registered for the Ph.D. Program shall be permitted to undertake any other full-time Program during the pendency of the Ph.D. Program.
10. The admission to Ph.D. Program will be according to the National Level Reservation Policy, as applicable from time to time, based on intake in a given academic year.

C. Eligibility Criteria

C-1 Common Eligibility Criteria for Ph.D.

1. Master's degree or a professional degree (M. Tech./LL.M./M.D./M.S. degree) in the same or allied subjects, declared equivalent to the Master's degree by the corresponding statutory regulatory body, with at least 55% marks in aggregate (or its equivalent grade 'B' in the UGC 7-point scale or an equivalent grade in a point scale wherever grading system is followed) or an equivalent degree from a foreign educational Institution accredited by an Assessment and Accreditation Agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country for the purpose of assessing, accrediting or assuring quality and standards of educational institutions.
2. A relaxation of 5% of marks, from 55% to 50%, or an equivalent relaxation of grade, may be allowed for those belonging to SC/ST/OBC (non-creamy layer)/differently-abled and other categories of candidates as per the decision of the University Grants Commission from time to time, or for those who obtained their Master's degree prior to 19 September, 1991. The eligibility marks of 55% (or an



equivalent grade in a point scale wherever grading system is followed) and the relaxation of 5% to the categories mentioned above are permissible based only on the qualifying marks without including the grace mark procedures.

3. Candidates with Masters or equivalent degree must appear in a written entrance exam conducted by the University in order to be eligible for interview. Based on the performance in the written test, which is the qualifying exam, the shortlisted candidates shall appear for an interview.
4. Candidates who have qualified UGC-NET (including JRF)/ UGC-CSIR NET (including JRF)/ DBT-JRF, ICMR-JRF, DST-INSPIRE or equivalent national level examination/fellowship (as identified by DRC)/GATE/teacher fellowship holder may directly appear for interview.
5. Teachers, who are in service in the University of Delhi, may directly appear for interview.
6. Candidates holding Junior Research Fellowships or equivalent research positions in projects, satisfying the minimum eligibility conditions (subject to selection by duly constituted committee as per the University norms for research projects) may also appear directly for interview.
7. Scientists/professionals working at R&D institutes of the Government of India/State Government and with whom the University has signed a Memorandum of Understanding may directly appear for interview subject to fulfilling minimum eligibility criteria.
8. The allied subjects for admission to Ph.D. Program in a particular Department shall be decided by the DRC of the respective Department in consultation with faculty members who have advertised for vacancies, based on their specific requirements. The list of allied subjects duly approved by the DRC may be submitted to the respective BRS for approval, after which it may be displayed on the website of the Department.
9. No candidate shall be eligible to register for the Ph.D. Program if he/she is already registered for any full-time Program of study in any University/Institution.
10. Foreign nationals fulfilling the eligibility criteria may be registered for Ph.D. over and above the maximum admissible strength. However, at any given time, the total number of foreign students shall not exceed 10% of the total admissible strength of the Department. Foreign nationals must provide evidence of language competence suited to the Department they wish to join.
11. Foreign nationals are exempted from entrance test and interview. They may be admitted based on their research proposal, subject to fulfilling the minimum eligibility criteria. The decision regarding the same rests with the DRC subject to approval from BRS for the Ph.D. Program.

C-2 Categories for admission to Ph.D. Program other than mentioned in C-1

1. Candidates who have been awarded or are pursuing M.Phil. Program under the following categories may directly appear for interview:
 - a. Candidates who have cleared the M.Phil. coursework with at least 55% marks in aggregate (or its equivalent grade 'B' in the UGC 7-point scale or an equivalent grade in a point scale wherever grading system is followed) and have successfully completed the M.Phil. Degree with at least 55% marks shall be eligible for appearing directly for interview. A relaxation of 5% of marks, from 55% to 50%, or an equivalent relaxation of grade, may be allowed for those belonging to SC/ST/OBC(non-creamy layer)/differently-abled.
 - b. Candidates who have cleared coursework of M. Phil. from University of Delhi with at least 55%



marks in aggregate may directly appear for interview to Ph.D. without completing the M. Phil. Dissertation, if the candidate is interested in registering for Ph.D. without completing M.Phil Program.

- c. A candidate who's M. Phil. dissertation has been evaluated and the viva voce is pending may appear directly for interview to the Ph.D. Program.
 - d. Candidates possessing a degree considered equivalent to M.Phil. Degree of an Indian Institution with 55% marks or from a Foreign Educational Institution accredited by an Assessment and Accreditation Agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country for the purpose of assessing, accrediting or assuring quality and standards of educational institutions, shall be eligible for admission to Ph.D. Program.
2. Faculty of Management Studies may shortlist candidates for appearing directly in interview for Ph.D. admission on the basis of CAT score.

All candidates appearing directly for interview have to register on the online admission portal.

D. Entrance Test and the Interview

2. There shall be a common Entrance Test for Ph.D. Program to be conducted by the University, once a year, where both Programs are offered by the Department.
3. The Entrance Test will be a qualifying examination with qualifying marks as 50%. The syllabus for the entrance test will consist of 50% questions on research aptitude/methodology and 50% subject-specific questions.
4. The Entrance Tests in various Departments shall be staggered to allow the candidates to appear in written test in more than one subject, if they so desire.
5. The Entrance Test for Ph.D. Program shall be conducted once in a year and the eligibility of shortlisted candidates shall remain valid till the next entrance test. The interviews may be held twice in a year or more, subject to availability of seats as determined by the DRC.
6. At the time of interview, if required, experts/prospective Supervisors from allied/specialized areas may be invited.
7. At the time of interview, the candidates are required to discuss their research interest/area through a presentation before a duly constituted Committee. The interview shall also consider the following aspects:
 - a. the candidate possesses the competence for the proposed research;
 - b. the research work can be suitably undertaken at the University
 - c. the proposed area of research can contribute to new/additional knowledge.
8. The merit list of the recommended candidates shall be displayed on the website after approval by the DRC/BRS. There will be a waiting list in the order of merit.
9. The DRC/BRS reserves the right not to select candidates for all the vacancies advertised.
10. The DRC/BRS shall ensure that all University rules as well as reservation rules are followed in the admission process.
11. The registration of candidates in the Ph.D. Program may be confirmed by the BRS based on the recommendation of the DRC after completion of coursework.
12. The Department shall maintain a list of all the Ph.D. registered research scholars on its website on year-wise basis. The list shall include the name of each of the registered candidates, topic of his/her research, name of his/her supervisor/co-supervisor and date of enrolment/ registration.